



## NANOPARTICLES: IS TOXICITY A CONCERN?

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### **ABSTRACT**

Nanotechnology involving manipulation of atoms and molecules at the nanoscale is one of the frontier areas of research in modern science. During the last few years, nanotechnology has witnessed breakthroughs in the fields of medicine, environment, therapeutics, drug development and biotechnology. This is due to the unique properties of nanomaterials (e.g. chemical, mechanical, optical, magnetic, and biological) which make them desirable for commercial and medical applications. Considering the theory and practice of using nanoparticles, nanotechnology has a great potential in improving treatment of various disorders and in vitro diagnostics. However, there is not much information available on the toxicity of nanoparticles in relation to human health. Toxic effect of nanomaterials on humans is the primary concern of the health industry. Nanomaterials are able to cross biological membranes and access cells, tissues and organs that larger-sized particles normally cannot. Nanomaterials can gain access to the blood stream via inhalation or ingestion. This may lead to both genotoxicity and biochemical toxicity. In this review we try to show which types, sizes and concentrations of nanoparticles are safe for human use and this will help in developing diagnostic, prognostic and therapeutic models using nanoparticles.

### **INTRODUCTION**

Nanotechnology can be defined as the techniques aimed at characterizing and producing materials on the nanometer scale (< 100 nm) which exhibit specific physical/ chemical properties and functions. The behavior of nanoparticles is a function of their size, shape and surface reactivity with the surrounding tissue. In principle, a large number of particles could overload the body's phagocytes, cells that ingest and destroy foreign matter, thereby triggering stress reactions that lead to inflammation and weaken the body's defense against other pathogens<sup>1</sup>. In addition to questions about what happens if non-degradable or slowly degradable nanoparticles accumulate in body organs, another concern is their potential interaction or interference with biological processes inside the body. Due to the importance of this size

class of particles, the term nanotoxicology has been coined that aims to establish the relationship between nanoparticle physicochemical properties (e.g. size, surface properties and crystal phase) and their toxic potential<sup>2</sup>.

Nanotoxicology is a sub-specialty of particle toxicology. It addresses the toxicology of nanoparticles which appear to have toxicity effects that are unusual and not seen with larger particles. The smaller a particle, the greater its surface area to volume ratio and the higher its chemical reactivity and biological activity. Because of their large surface area, nanoparticles will, on exposure to tissue and fluids, immediately adsorb onto their surface some of the macromolecules they encounter. This may, for instance, affect the regulatory mechanisms of enzymes and other proteins.

Genotoxicity describes a deleterious action on a cell's genetic material affecting its integrity. Genotoxic substances are known to be potentially mutagenic or carcinogenic, specifically those capable of causing genetic mutation. Genotoxins may be lethal and could cause various metabolic and developmental abnormalities. This can also be passed on to subsequent generations<sup>3</sup>.

Nanoparticles are molecules which are sub-100nm in range, which have specific physical, chemical and biological properties. These properties make them as potent molecules for diagnostics and therapeutics in modern medicine. Nanoparticles are widely used because of their desirable properties in industrial, medical and cosmetic fields<sup>4</sup>. These particles can be released into the human environment and then can be inhaled. Most exposure to airborne nanomaterials occurs in the work place<sup>5</sup>. The extremely small size of nanomaterials also means that they much more readily gain entry into the human body than larger sized particles. How these nanoparticles behave inside the body is still a major question that needs to be resolved.

Nanoscience and nanotechnology encompass a wide range of fields, including chemistry, physics, materials engineering, biology, medicine, and electronics. There is also a notable rise in the number of publications discussing their toxicity, particularly in the past two years. The total number of papers on toxicity, however, remains low compared to the total number of publications on nanomaterials. There are several reviews addressing nanotoxicology aspects; however, they are intended for a narrow, specialized audience. Several are comparatively general<sup>4,6</sup> while others address selected aspects of nanoparticle toxicology, such as epidemiological reviews of exposure to particles and health affects;<sup>7</sup> targeted drug delivery;<sup>8</sup> particle characterization methods;<sup>9</sup> screening strategies and future directions of research;<sup>10</sup> and regulation of nanomaterials<sup>11</sup>.

While the tremendous positive impacts of nanotechnology are widely publicized, potential threats or risks to human health and the environment are just beginning to emerge. With limited information available for support, critics are presenting a number of concerns on the devastating effects of nanotoxicity on human health and the environment. Detailed studies on the long-term effects of NPs are the need of the day to overcome or reduce possible threats. Simultaneous agglomeration, sedimentation and diffusion at physiologically relevant concentrations should be taken into account while conducting quantitative studies on the uptake of NPs into biological systems, to assess the corresponding risks on human health<sup>12</sup>.

Studies on the effect of NPs on human health have also gained momentum recently. A study suggests that inhaled carbon NPs are capable of rapid translocation into the circulation<sup>10</sup>. On the contrary, a conflicting report suggests that inhaled carbon NPs remain within the lung up to 6 h after inhalation, without passing to systemic circulation<sup>4</sup>. In a recent study<sup>13</sup>, suitability of mouse spermatogonial stem cell line as a model system to assess nanotoxicity was evaluated in the male germline in vitro. As nanomaterial-based products enter the market, there is an urgent need for related research in order to prevent dramatic consequences of any health-oriented issues caused by nanotechnology-driven products. Recent research has brought to light concerns over the safety of use of nanomaterials and also the long-term adverse effect of their use. Hence it is essential for us to establish the toxicity, safety and risks involved in the usage of these nanoparticles.

During the last years engineered nanoparticles (NPs) have been extensively used in different technologies and consequently many questions have arisen about the risk and the impact on human health following exposure to nanoparticles<sup>14</sup>. Nevertheless, at present knowledge about the cytotoxicity induced by NPs is still largely incomplete. In this context, we try to show which types, sizes and concentrations of nanoparticles are safe for human use and this will help in developing diagnostic, prognostic and therapeutic models using nanoparticles.

#### **Different classes of nanoparticles and their toxicity:**

Colloidal gold, also known as "nanogold", is a suspension of sub-micrometre-sized particles of gold in a fluid - usually water. The liquid is usually either an intense red colour (for particles less than 100 nm), or a dirty yellowish colour (for larger particles)<sup>15</sup>. In recent days gold nanoparticles are widely using in all the fields, particularly in medicine.

The toxicity of GNPs has been investigated at the cellular level. GNPs enter cells in a size- and shape-dependent manner<sup>16,17</sup>. Uptake of GNPs reaches a maximum when the size nears 50 nm and when the aspect ratio approaches unity. The transport efficiency reaches a plateau 30 min after incubation. The uptake of GNPs is consistent with receptor-mediated endocytosis. Nevertheless, most GNPs can enter cells efficiently, and most studies indicate that they are nearly harmless to cultured cells<sup>18,19,20,21</sup>.

In all, gold nanoparticles may not affect cell viability in short-term but affect cell proliferation and cause DNA damage. Its mechanism of action and involvement are yet to be elucidated. Currently gold nanoparticles (AuNPs) are used in different biomedical applications, such as intracellular gene regulation<sup>22</sup>, chemotherapy<sup>23</sup> and drug delivery<sup>24,25</sup>, as well as in optical and electronic applications<sup>26</sup>.

A previous study revealed that gold-silica nanoshells release significant heat when exposed to near-infrared (NIR) light (650–950 nm) and have been used to produce thermal cytotoxicity in vitro<sup>27</sup>. Unfortunately, this treatment approach is mechanistically limited to use in superficial malignant tumors because of the minimal tissue penetration (< 2–3 cm depth) by NIR wavelength light<sup>28</sup>. However, the gold-silica nanoshell study demonstrated that nanogold has potential clinical use as a thermal conductor of non-invasive energy sources. Gold, like most metals, is an excellent conductor of electrical and thermal energy.

Silver nanoparticles are used as antibacterial/antifungal agents in a diverse range of applications: air sanitizer sprays, socks, pillows, slippers, face masks, wet wipes, detergent, soap, shampoo, toothpaste, air filters, coatings of refrigerators, vacuum cleaners, washing machines, food storage containers, cellular phones, and even in liquid condoms (Peter D, 2009).

Ingestion of Ag can cause argyria, the benign condition characterized by the bluish-graying of the skin that occurs through the preferential deposition of Ag in the basal lamina of soft tissues such as the skin, liver, and spleen<sup>29</sup> and blood vessels, gastrointestinal tract, liver, and kidney<sup>30</sup> (Fig 1, Table 1).

*Carbon nanotubes* (CNTs; also known as buckytubes) are allotropes of carbon with a cylindrical nanostructure. These cylindrical carbon molecules have novel properties that make them potentially useful in many applications in nanotechnology. Determining the toxicity of carbon nanotubes has been one of the most pressing questions in nanotechnology. Unfortunately such research has only just begun and the data are still fragmentary and subject to criticism. Under some conditions, nanotubes can cross membrane barriers, which suggest that if raw materials reach the organs they can induce harmful effects such as inflammatory and fibrotic reactions (Jelena K et al. 2007) (Fig 1, Table 1).

Nanotechnology is a global cross-disciplinary undertaking that has extraordinary potential to change our lives by improving existing products and enabling new ones. Like most new technologies, the emphasis on benefits has been offset by considerable debate about the uses and safety of nanotechnologies<sup>31,32,33,34</sup>. Occupational medicine is replete with examples of respirable dust particle exposures causing disease, and so matters of safety have been focused largely on nanoparticles (NP), which represent only one aspect of nanotechnology. NP, generally defined as

particles less than 100 nm in any dimension and previously called ultrafine particles by inhalation toxicologists, are a concern because they may enter the body through the lungs, skin, or gut depending on the type of exposure. Several recent papers have highlighted this area of toxicology, its novelty, the gaps in research, and possible testing strategies for NP and nanotubes<sup>2,35,36</sup>. There is sufficient "ultrafine particle" toxicology literature to consider that the large and diverse group of manufactured NP arising from nanotechnology comprises an inhalation hazard of unknown potential. Most of the concern has flowed from this perception, enhanced by limited studies indicating that they may also be taken up through the skin or gut. The notion, for which some limited support exists, that NP may gain access to the blood poses a relatively new particle toxicological problem, i.e., particle effects at sites other than the lungs (Fig 1, Table 1).

This paper examines the toxicology issues relating to carbon nanotubes (CNT), one of the major new NP that are set to be produced in bulk for many diverse purposes. CNT are discussed here exclusively as an inhalation hazard. Global revenues from CNT in 2006 are estimated at ~ \$230 million with a growth rate of ~ 170%. This provides potential for workplace and even eventual general exposure, if there is attrition of materials that contain them. Concern has been raised over the safety of CNT because they have three properties that are clearly associated with pathogenicity in particles.

1. They are NP and so could have more toxicity than larger sized particles.
2. They are fiber shaped and so might behave like asbestos and other pathogenic fibers which have toxicity associated with their needle-like shape.
3. They are essentially graphitic and so are expected to be biologically biopersistent.

*Titanium dioxide* (TiO<sub>2</sub>) particles with diameter larger than 100 nm are considered biologically inert in both humans and animals<sup>37</sup>. Based on this understanding, titanium dioxide nanoparticles have been widely used in many products, such as white pigment, food colorant, sunscreens, and cosmetic creams<sup>13</sup>. However, adverse effects of TiO<sub>2</sub> nanoparticles have recently been uncovered<sup>38,39</sup>. New research is exploring the potential use of nanostructured TiO<sub>2</sub> photocatalyst materials for sterilizing equipment of environmental microorganisms in the health care facility<sup>40</sup>.

Exposure to nano-titanium dioxide showed DNA and chromosome damage to a degree "linked to all the big killers of man, namely cancer, heart disease, neurological disease and aging"<sup>41</sup>. Warheit and co-workers recently dosed the lungs of rats with 3 sizes of titanium dioxide nanoparticles and suggested that toxicity is not dependent upon particle size or surface area<sup>42</sup>.

A clear conclusion regarding particle size could not be reached as only 3 sizes were tested, of which one was of a different crystal phase, and the two of the same crystal phase (rutile) were of different shapes (rods, ~200 nm × 40 nm, and dots, ~10 - 20 nm). In a follow up study, these authors tested the pulmonary toxicity of 3 sizes (hydrodynamic diameter: 136 nm, 149 nm and 382 nm) of the rutile phase with alumina surface coating, and compared it to 25 nm TiO<sub>2</sub> particles (of a different crystal phase)<sup>43</sup>. In a third study, they examined the toxic potential of 3 samples of different crystal phases, however, the sizes were also different<sup>44</sup>. Surface properties were proposed to account for the differences in biological responses. Oberdörster et al<sup>2,45</sup> conducted pulmonary toxicity tests with 20 nm (80% anatase) and 250 nm (100% anatase) titanium dioxide particles and found that the total surface area was a metric that related to neutrophil lung inflammation in rats. No clear trends with regard to the influence of TiO<sub>2</sub> crystallinity and particle size on biological activity could be seen in these different studies and contrasting concepts were proposed. One major reason was the paucity of different well-characterized TiO<sub>2</sub> samples (in terms of size and crystallinity) that were used, thus precluding the development of convincing conclusions about the function of parameters such as particle size or crystal phase.

In 1990, the lipid nanoparticles were invented in the laboratories, the first patent filings took place in 1991. The lipid nanoparticles were developed as alternative to traditional carriers such as polymeric nanoparticles and leptosomes<sup>46</sup>. Meanwhile many research groups are active worldwide, their results are reviewed which cover many different administration routes: dermal and mucosal, oral, intravenous/parenteral, pulmonary but also ocular (Fig 1, Table 1).

The lipid nanoparticles are also used for peptide/protein delivery, in gene therapy and various miscellaneous applications (e.g. vaccines)<sup>29,47,48</sup>. Although lipid nanoparticles represent potent drug carriers, for many formulations toxicity data are rare.

Quantum dots are nanoparticles made of semiconductor materials with fluorescent properties. Crucial for biological applications quantum dots must be covered with other materials allowing dispersion and preventing leaking of the toxic heavy metals<sup>49</sup>.

Polymers such as polysaccharide chitosan nanoparticles have been used for some time now as drug delivery systems<sup>50</sup>. Recently, water-soluble polymer hybrid constructs have been developed. These are polymer–protein conjugates or polymer–drug conjugates. Polymer conjugation to proteins reduces immunogenicity, prolongs plasma half-life and enhances protein stability. Polymer–drug conjugation promotes tumour targeting through the enhanced permeability and retention effect and, at the cellular level following endocytic capture, allows lysosomotropic drug delivery<sup>51</sup> (Fig 1, Table 1).

## DISEASES ASSOCIATED TO NANOPARTICLE EXPOSURE

*C. Buzea, I. Pacheco, & K. Robbie, Nanomaterials and nanoparticles: Sources and toxicity, Biointerphases 2 (2007) MR17-MR71*

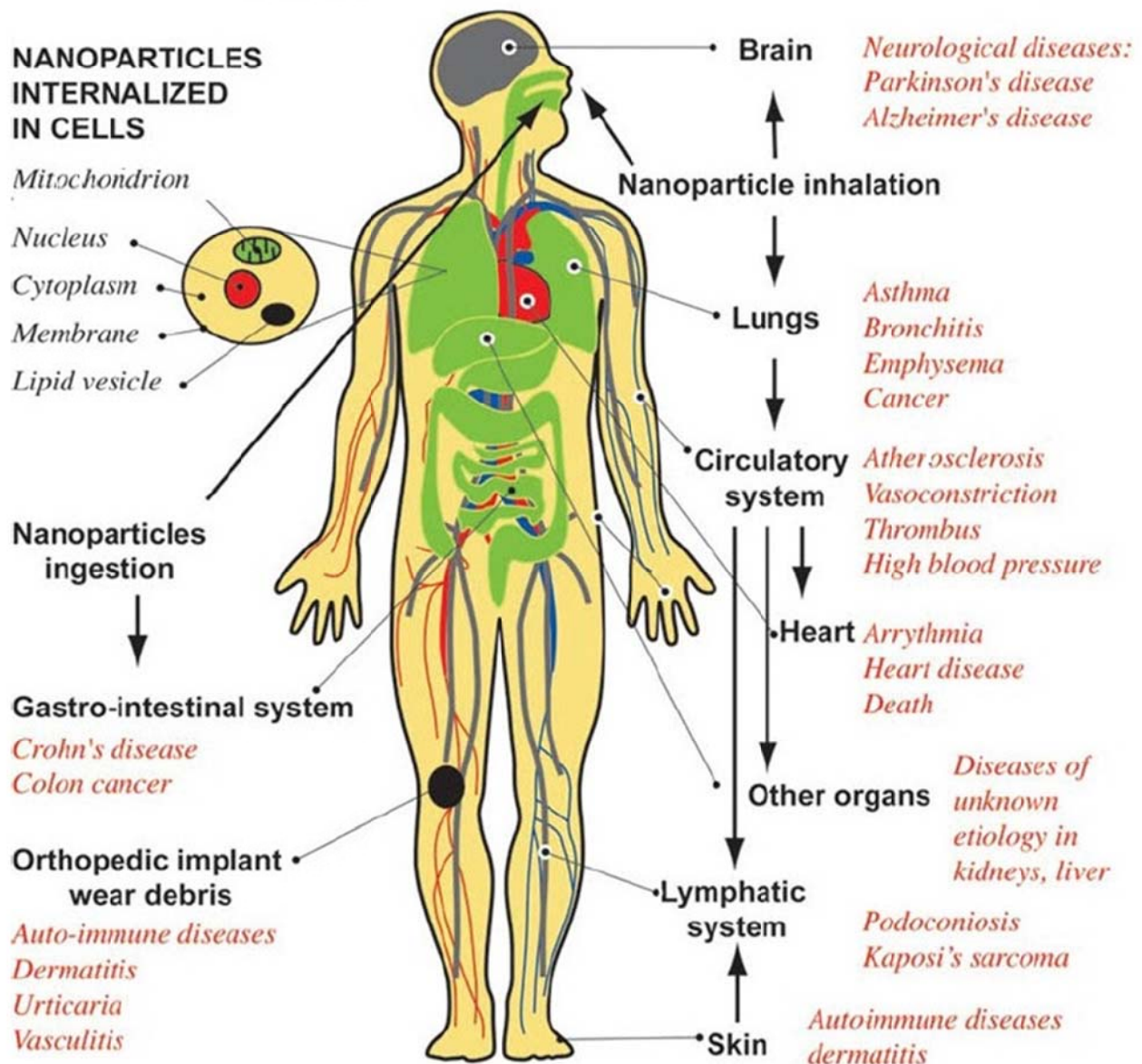


Table 1: Involvement of nanoparticles toxicity in various pathways.

Membrane damage/leakage/thinning	Cationic NPs
Protein binding/unfolding responses/loss of function/fibrillation	TiO <sub>2</sub> , Carbon NPS
DNA cleavage and mutation	Ag NPs
Mitochondrial damage: electron transfer/ATP/Apoptosis	Ag and Gold NPs
Lysosomal damage: proton pump activity/lysis/frustrated phagocytosis	Ag, Gold NPs and Carbon Nanotubes (CNTs)
Inflammation: signaling cascade/cytokines/chemokines/adhesion	Metal Oxide NPs (eg. TiO <sub>2</sub> ) and CNTs
Fibrogenesis and tissue remodeling injury	CNTs
Blood platelet, vascular endothelial and clotting abnormalities	SiO <sub>2</sub>
Oxidative stress injury, radical production, GSH (Glutathione) depletion, lipid peroxidation, membrane oxidation, protein oxidation.	CNTs, Metal Oxide NPs, Cationic NPs

*Liposomes* are nanoparticles comprising lipid bilayer membranes surrounding an aqueous interior. The amphiphilic molecules used for the preparation of these compounds have similarities with biological membranes and have been used for improving the efficacy and safety of different drugs<sup>52</sup>. Usually, liposomes are classified into three categories on the basis of their size and lamellarity (number of bilayers): small unilamellar vesicles or oligolamellar, large unilamellar vesicles and multilamellar vesicles. The active compound can be located either in the aqueous spaces, if it is water-soluble, or in the lipid membrane, if it is lipid-soluble.

Recently, a new generation of liposomes called 'stealth liposomes' have been developed. Stealth liposomes have the ability to evade the interception by the immune systems, and therefore, have longer half-life<sup>53</sup>.

#### Cellular interaction with nanoparticles:

Like nanoorganisms (viruses), nanoparticles are able to enter cells and interact with subcellular structures. Cellular uptake, subcellular localization, and ability to catalyze oxidative products depend on nanoparticle chemistry, size, and shape<sup>13</sup>. The mechanism by which nanoparticles penetrate cells without specific receptors on their outer surface is assumed to be a passive uptake or adhesive interaction. This uptake may be initiated by van der Waals forces, electrostatic charges, steric interactions, or interfacial tension effects, and does not result in the formation of vesicles<sup>41,54</sup>. (Steric interactions occur when nanoparticles have molecules with size, geometries, bonding, and charges optimized for the interaction with the receptors). After this type of uptake, the nanoparticles are not necessarily located within a phagosome (which offers some protection to the rest of the cellular organelles from the chemical interaction with the nanoparticle). For example, C<sub>60</sub> molecules enter cells and can be found along the nuclear membrane and within the nucleus. Very small nanoparticles, such as C<sub>60</sub> molecules with a diameter of 0.7 nm, are able to penetrate cells via a different mechanism than phagocytosis, probably through ion channels or via pores in the cell membrane<sup>55</sup>. This type of uptake and free movement within the cell makes them very dangerous by having direct access to cytoplasm proteins and organelles. Uptake location is likely to depend on material type; however, current research does not provide sufficient information to drawing conclusions on this subject. Upon nonphagocytic uptake, nano-particles can be found in various locations inside the cell, such as the outer-cell membrane, cytoplasm, mitochondria, lipid vesicles, along the nuclear membrane, or within the nucleus<sup>56</sup>. Depending on their localization inside the cell, the nanoparticles can damage organelles or DNA, or ultimately cause cell death. Recent studies have used a composite phenotype (psychosis) that includes BPD, SCZ, psychosis not otherwise specified, and schizoaffective disorder, to identify shared susceptibility loci. Several chromosomal regions are reported to be shared between these syndromes (18p, 6q, 10p, 13q, 22q)<sup>57</sup>.

Nanoparticles are internalized not only by professional phagocytes such as alveolar macrophages,<sup>4</sup> but by various types of cells, including endothelial cells, pulmonary epithelium,<sup>58</sup> gastrointestinal epithelium, red blood cells, platelets, and nerve cells<sup>10</sup> (Fig 1). Particle internalization location depends on nanoparticle size. For example, environmental particles with size between 2.5 and 10  $\mu\text{m}$  were found to collect in large cytoplasmic vacuoles, while smaller nanoparticles (100 nm) localize in organelles, such as mitochondria, leading to disruption of mitochondrial architecture<sup>59</sup>.

#### **Biomarkers to assay the toxicity:**

With very few exceptions, previous nanotoxicity studies implicitly involved the assumption that the techniques developed for risk assessment of hazardous chemical substances can be applied in unchanged form to explore cell response in NP laden media. This misleading approach has the consequence that the actual dose of exposure is ill defined or, more often, completely unknown<sup>48</sup>.

Pro-inflammatory cytokines such as interleukin- 6 (IL-6) and tumor necrosis factor alpha (TNF- alpha) are involved in the formation of toxic peroxynitrite by increasing the activity of nitric oxide synthase (NOS) enzyme. Nitric oxide (NO) is potent inflammatory mediator because of its strong reactivity with oxygen, superoxide and iron-containing compounds. Prostaglandins are well known as proinflammatory mediators, and inhibition of cyclooxygenase (COX) has long been used in the management of inflammation. Levels of prostaglandin E2 (PGE2) are increased in various states of inflammation. Unlike larger particles, nanomaterials may be taken up by cell mitochondria and the cell nucleus. Studies demonstrate the potential for nanomaterials to cause DNA mutation and induce major structural damage to mitochondria, even resulting in cell death. Size is therefore a key factor in determining the potential toxicity of a particle.

The greater chemical reactivity of nanomaterials results in increased production of reactive oxygen species (ROS), including free radicals and it is one of the primary mechanisms of nanoparticle toxicity; it may result in oxidative stress, inflammatory cytokines production and consequent damage to proteins, membranes, DNA and cell death<sup>6</sup>.

Humanized NPs, termed nano-proresolving medicines, are mimetics of endogenous resolving mechanisms, possess potent beneficial bioactions, can reduce nanotoxicity, and offer new therapeutic approaches<sup>60</sup>.

#### **Summary:**

Nanotoxicology is an emerging new multidisciplinary field of science, and therefore there is a risk of change in its rapid development in the near future. Therefore, development of novel nanoparticles for pharmacology, therapeutics and diagnostics must proceed in tandem with assessment of any toxicological and environmental side effects of these particles. As the bioenvironment is already polluted with nanoparticulates of particulate matter caution should be taken to prevent and contain any environmental effects of intentionally generated nanomaterials. In this review we tried to put our effort to show the toxicity of various nanoparticles, which will be a very valuable reference source to students and investigators in this research field to guide them in their future work.

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The authors declare that they have no competing interests.

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